

Informational Questionnaire

Identification data

Company/Entity name	
Registered office (city/country) Operational headquarters (if different from registered office)	
Contact (Telephone, Fax, E-mail)	
Juridical form	
Registered capital (subscribed and paid-up, where applicable)	
Date of establishment:	
No./Date Entry in the Register of Companies/Administrative and Economic News Directory	
VAT Number/Fiscal Code	
Company object	
Members of social/associative/directory bodies	
Number of employees	
Contact person (legal representative or person with powers of legal representation) Indicate name, title, nationality, date and place of birth, tax code	
Shareholder/Member Indicate name, date and place of birth, nationality, % of capital, if applicable.	

<p>Beneficial owner¹ (if different from the persons named above)</p> <p>Indicate name, date and place of birth, nationality.</p>	
Company/Body Activities	
<p>Brief description of the nature and history of the activities:</p>	
<p>Other companies (including companies belonging to the FS Italian Group) with which the contracting party has undertaken similar initiatives (<i>list of companies and related business</i>):</p>	
Declarations and Guarantees	
<p><i>(If for some of the following points there is additional or more detailed information to be provided, please indicate this on a separate sheet to be attached)</i></p>	
<p>The undersigned, in his/her capacity as legal representative, represents that he/she has read the Rules for Participation in the Initiative and declares, pursuant to and for the purposes of Articles 46 and 47 of Presidential Decree 445/2000, aware of the criminal sanctions provided for by Article 76 of the same Decree 445/2000 in the event of false declarations, the exhibition of false documents or data containing untrue information, that:</p> <p>A) the company/entity represented by him/her:</p> <ul style="list-style-type: none"> (i) has not been/is not currently or has never been the subject of investigations, proceedings or sentences/convictions by any administrative or judicial authority, in Italy and/or abroad, in relation to offences of fraud, corporate offences, money laundering, self-laundering embezzlement, extortion, extortion or bribery, trafficking in unlawful influence, or other similar criminal offences relating to offences against the public administration and property, or in any case for other offences entailing the liability of the legal person pursuant to Legislative Decree 231/2001 or equivalent foreign legislation, where applicable; (ii) has never been the subject, in Italy and/or abroad, of a suspension, proposal for suspension, prohibition or debarment in respect of the performance of the activities covered by the future agreement or relevant to participation in public tenders, nor is it currently subject to any such investigation; (iii) has never been subject to sanctions by national or international authorities, including listings by international organisations (OFAC, UN, EU, UK) to combat money laundering and terrorist financing; 	

¹ A natural person who has ultimate ownership or control of a legal entity (e.g. ultimate controlling member of a group of companies, beneficiary of a trust, trustee of a trust, beneficial owner of a notional equity interest, etc.) or who derives economic benefit from such ownership or control.

(iv) does not represent a political party, movement, committee or political or trade union organisation or members/candidates of political parties, movements, committees and trade union organisations.

B) neither he, nor the shareholders/shareholders, members of the corporate bodies/directors and technical director (if any) of the company/entity represented by him/her:

- (i) are or have been involved in circumstances that lead to the loss of requirements of honour and integrity, disqualifications or otherwise reputational issues;
- (ii) finds himself/herself/themselves in situations that may give rise to conflicts of interest or situations of incompatibility, with particular regard to marriage/relationship/cohabitation, civil union or affinity within the second degree of kinship or relationships of a personal or professional nature with FS Italiane S.p.A. and/or other Companies of the FS Italiane Group.

The undersigned further declares:

- a) that the members of the corporate bodies/management bodies, shareholders/shareholders and the technical director (if any) of the company/entity do not include members of the administration, control and supervisory bodies and/or employees of FS Italiane S.p.A. and/or Companies of the FS Italiane Group, or spouses, cohabiting partners, parties to civil unions, relatives or relatives-in-law up to the second degree of kinship of the same;
- b) the executive chairman and managing director (in the case of a joint-stock company) / the directors (in the case of a limited liability company) / the partners (in the case of a partnership)/ the persons with strategic/directorship responsibilities within the organisational structure (in all other cases) and/or their respective spouses, cohabiting partners, parties to civil unions, family members, relatives and relatives-in-law up to the second degree:
 - they are not and have not been holders of a public office, who exercise/have exercised in the last two years authoritative or negotiating powers in respect of FS Italiane S.p.A. and/or Companies of the FS Italiane Group, such as, by way of example but not limited to, the issue of concessions/licences, the assignment of public services/works, the disbursement of public funding, the performance of inspection or supervisory activities in the name of and on behalf of Authorities, including judicial authorities;
- c) that the company/entity holds all the certifications, authorisations, registrations, licences, permits, authorisations and requirements provided for by the law and regulations of the country for the implementation of the specific initiative;
- d) to have read the Company's Code of Ethics available on the website www.fsitaliane.it and of which he may request a hard copy at any time, which is an integral part of the Organisation, Management and Control Model pursuant to Legislative Decree no. 231/2001 of the Company, to have fully understood its contents and purposes and to be obliged to fully comply with them;
- e) to have read the Organisational, Management and Control Model pursuant to Legislative Decree no. 231/2001, as amended and supplemented, of FS Italiane S.p.A., available at the following Internet address: <http://www.fsitaliane.it> in the section "The Group" subsection "Ethics, Compliance and Integrity", and to have fully understood its contents and purposes and to be obliged to fully comply with them;
- f) the absence of the following causes preventing participation in the Initiative, as provided for and described in detail in the Rules:
 - serious violations of obligations relating to the payment of taxes and duties or of social security contributions
 - commission of serious violations of safety regulations and any other obligations arising from employment relationships, as well as of environmental, social and labour law obligations
 - state of bankruptcy or composition with creditors or the existence of ongoing proceedings for the declaration of one of these situations;
 - violations of the principles of FS's Code of Ethics and/or Model 231;

- failure to meet the requirements set out in Article 2.2 of the Rules of the Initiative;
 - submission of submission forms containing statements, contributions and/or documents containing partially or totally false and/or incorrect information;
 - submission of submission forms in breach of the rules set out in these Rules and/or in the Terms & Conditions of use of the FSInnoStation website;
 - submission forms that infringe the intellectual or industrial property rights of third parties;
- g) that neither the company/entity, nor its shareholders/directors/general managers are linked, for any reason whatsoever, to companies competing with FS Italiane S.p.A. and/or companies of the FS Italiane Group (e.g. controlling or unlimited partners in competing companies, carrying out a competing activity on their own behalf or on behalf of third parties, holding the office of director or general manager in competing companies);
- h) the absence of other situations that may give rise to conflicts of interest, as defined in the Rules of Participation.

DATA PROTECTION POLICY

(Pursuant to Articles 13 and 14 of European Regulation No. 679/2016)

Ferrovie dello Stato Italiane S.p.A. hereby informs you that it will process the personal data acquired through the declarations made in person by the data subject or by the legal representative or person with powers of legal representation of the Company in order to carry out checks, also of a reputational nature, for the purposes of participation in the innovation initiative.

Before acquiring personal data, Ferrovie dello Stato Italiane S.p.A. invites you to carefully read and take note of the information note on the protection of personal data.

Data Controller and DPO

Ferrovie dello Stato Italiane S.p.A., the Data Controller, with registered office in Rome, Piazza della Croce Rossa n.1, represented by the Chief Executive Officer *pro-tempore*, can be contacted at the e-mail address [**openinnovation@fsitaliane.it**](mailto:openinnovation@fsitaliane.it).

The **Data Protection Officer** can be contacted at the e-mail address [**protezionedati@fsitaliane.it**](mailto:protezionedati@fsitaliane.it).

Types of personal data

The personal data collected, referring to the natural persons indicated in this Questionnaire and to any further subjects present in the corporate composition and ownership structure, will be processed by computer and paper supports in such a way as to guarantee suitable security and confidentiality measures, and exclusively within the scope of the proceedings for which the declarations are made.

Finally, personal data may also be acquired by other **autonomous Data Controllers**, such as database *provider* companies for *reputational due diligence* activities.

Purpose of Processing

The data we ask you to provide will be processed for the following **purposes**:

- a. verification of potential conflicts of interest/incompatibility/correlation (Legal Basis: Legitimate Interest);
- b. checks of a reputational nature for the purposes of identifying and preventing any risks of corruption or improper influence, compliance risks, including those linked to national and international sanctions regimes, and risks - offences pursuant to Legislative Decree no. 231/2001, including in the implementation of the Group's internal regulations (Legal Basis: Legitimate Interest).

The provision of the data necessary for the pursuit of the purposes set out in points a) and b) is of a "mandatory" nature and any refusal to provide such data will make it impossible for Ferrovie dello Stato Italiane S.p.A. to carry out the necessary activities.

Data recipients

For the pursuit of the aforementioned purposes, personal data shall only be processed by persons, expressly authorised by Ferrovie dello Stato Italiane S.p.A., who, within the same, have a need to do so due to the task performed or the hierarchical position held. Such persons shall be duly instructed in order to avoid loss, access to the data by unauthorised persons or unauthorised processing of the data.

In addition, the data may be used by the following categories of parties who carry out instrumental activities on behalf of Ferrovie dello Stato Italiane S.p.A.: (i) consultants and/or law firms providing consultancy support for *due diligence* activities; (ii) service companies (including IT companies). These companies act as **Data Processors**, on behalf of Ferrovie dello Stato Italiane S.p.A., and have signed a specific contract that regulates in detail the processing entrusted to them and their data protection obligations.

Finally, personal data may also be transmitted to other **autonomous Data Controllers**, such as companies that carry out independent *due diligence* activities.

The updated list of data recipients is available by requesting it from the e-mail address protezionedati@fsitaliane.it.

Data Dissemination

Personal data will never be published, displayed or made available/consulted by unspecified persons.

Data storage

The personal data provided and collected will be processed only for the period of time necessary to pursue the above-mentioned purpose and in any case for a period of time not exceeding 3 years for the purpose of tracing the internal decision-making process, unless further retention periods are provided for by law in order to assert or defend a right in the competent fora (judicial and administrative).

Rights of Interested Parties

EU Regulation 2016/679 (Articles 15 to 23) grants data subjects the exercise of specific rights. In particular, in relation to the processing of your personal data, you have the right to ask Ferrovie dello Stato Italiane S.p.A. for access, rectification, deletion, restriction, opposition and portability; you may also lodge a complaint, against the Supervisory Authority, which in Italy is the Guarantor for the Protection of Personal Data.

You may exercise your rights at any time by sending a request to Ferrovie dello Stato Italiane S.p.A. by contacting the DPO at the e-mail address protezionedati@fsitaliane.it.

Other relevant information

I acknowledge that the Company shall fully rely on the above information in deciding whether or not to enter into a contract or other legal relationship and that any false or misleading information provided shall constitute grounds for immediate termination of the contract, should it be entered into.

I therefore undertake, for the entire duration of the initiative, including the execution of payments

- to provide, at the request of the Company, any useful documentation to prove the content of the information contained in these declarations;
- to promptly communicate any change in the information subject of the above declarations.

The undersigned declares that he/she has read the information on the protection of personal data and that he/she has duly informed, with regard to the data transmitted, the subjects to whom the personal data reported in this Questionnaire refer, pursuant to Articles 13 and 14 of EU Regulation 2016/679.

Signature (legal representative or person with powers of legal representation) NB: Declarations must be digitally signed	
Name and Surname	
Qualification	
<i>Please enclose your identity document and the document certifying your authority</i>	
Date	